SUBSTITUTE FOR **FORM 1449** (08-00)

U.S. DEPARTMENT OF COMMERCE Patent and Trademark Office

Complete if Known				
Application Number	10/713,651			
Filing Date	November 14, 2003			
First Named Inventor	Michael W SHADID			



INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)			Group Art Unit	2123 2192
		s necessary)	Examiner Name	Rutten
	of	1	Attorney Docket Number	03226.344001; SUN040244

			U.S.	PATENT DOCUMENTS	
		U.S. Pater	t Document		
Examiner Initials	Cite No.	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Figures Appear
	•				

FOREIGN PATENT DOCUMENTS							
		F	oreign Paten	t Document			
Examiner initials	Cite No.	Office	Number	Kind Code (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	т"
				_			

	OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS					
Examiner initials	Cite No.	References	T**			
JR	Al	Tamches, Ariel and Miller, Barton P; "Fine-Grained Dynamic Instrumentation of Commodity Operating System Kernels; [Online]; http://www.usenix.org/publications/library/proceedings/osdi99/full_papers/tamches/tamches.pdf , Published February 1999				
JR	A2	Murayama, John; "Performance Profiling Using TNF"; [Online]; http://developers.sun.com/solaris/articles/tnf.html , July 2001				
JR	A3	Lorch, Jacob R. and Smith, Alan J.; "The Vtrace Tool: Building a system Tracer for Windows NT and Windows 2000"; [Online] http://msdn.microsoft.com/msdnmag/issues/1000/Vtrace/default.aspx , October 2000				
JR	A4	"Chapter 10. The Generalized Trace Facility (GTF)"; [Online]; http://publib.boulder.ibm.com/cgibin/bookmgr/BOOKS/IEA2V100/CCONTENTS , January 2001				
JR	A5	Mirgorodskii, Alex; "The Path to Portable Kernel Instrumentation: The Kerninst API"; http://www.dyninst.org/mtg2003/slides/KerninstAPI.pdf , April 2003				
JR	A6	Richard Moore, et al.; "IBM Dynamic Probes"; http://www-124.ibm.com/developerworks/opensource/linux/projects/dprobes/README , 2000				
JR	A7	K. Yaghmour et al.; "Measuring and Characterizing System Behavior Using Kernel-Level Event Logging"; Proceedings of the 2000 USENIX Annual Technical Conference; June 18-23, 2000, San Diego, California, USA				

Examiner Signature	/James Rutten/	Date Considered	01/02/2007
		Considered	<u> </u>

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

^{**} Applicant is to place a check mark here if English language is present or an English Translation or Abstract is attached.